

Docket No. 209802US2
IN RE APPLICATION OF: Katsuhiko IWASHITA, et al.
SERIAL NO: 09/884,152
FILED: June 20, 2001
FOR: OPTICAL WAVEGUIDE MODULE

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
☒ Additional documents filed herewith: Marked-up copy

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	14	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	3	MINUS	3	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☐ A check in the amount of \$0.00 is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



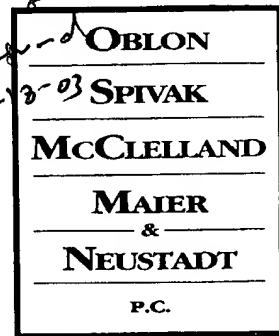
22850

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Bradley D. Lytle

Registration No. 40,073

#7/Reg for
07-18-03



June 18, 2003

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

Attn: Frank Lebron
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of page 4 of our deposit account statement of April 30, 2003. See the highlighted charge on **serial number 09/884,152 for \$168.00 on fee code #1201.**

On April 16, 2003 an amendment was filed **that canceled independent claims 1 and 2 and added new independent claim 5 and 13. Therefore, the independent claim count remained the same and no fees should be charged on our deposit account.**

Please review this application and kindly refund **\$168.00** to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

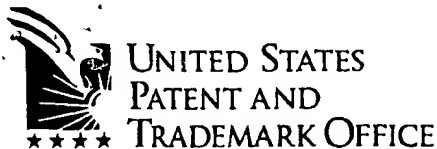
With best regards,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel
Accounting Department

Enclosures



MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

NORMAN F. OBLON

FINA

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ALEXANDRIA VA 22314

Account No.	150030
Date	4-30-03
Page	4

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70541
Chicago, IL 60673

DATE-POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	15	03	118	995184	221537US-0/GET	8007	20.00	25303.46
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4	16	03	117	5989482		155	890.00	40068.46
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4	17	03	32	09635116	05516/056002	1460	130.00	38596.46
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4	17	03	158	09408127	0557-4778-2	1202	108.00	28134.71
4	18	03	2	09884152	209802US 2	120	168.00	27966.71

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
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5
QSM&N File No. 209802US2

Dept.: E/M

By: BDL/dfd

Serial No. 09/884,152

In the matter of the Application of: Katsuhiko IWASHITA, et al.

For: OPTICAL WAVEGUIDE MODULE

Due Date: 4-16-03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Dep. Acct. Order Form
- ☒ Letter/Cover
- ☒ Amendment with marked-up copy



29 ✓ PAGES OF SPECIFICATION
 13 ✓ SHEET(S) OF DRAWINGS
 1 ✓ PRIORITY(IES) CLAIMED
 --- SMALL ENTITY

CLAIM CALCULATION SHEET

Docket No. 209802US2

Serial No.

Decided - ADS
4/16/03
2PQ

CLAIM	APPLICATION AS FILED		4/16/03 AFTER 1 ST AMENDMENT		AFTER 2 ND AMENDMENT		AFTER 3 RD AMENDMENT	
	IND	DEP	IND	DEP	IND	DEP	IND	DEP
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TOTAL	3	16	3	11				
	19		14					

MULTIPLE DEPENDENT CLAIM FEE PAID: ☐ YES ☒ NO